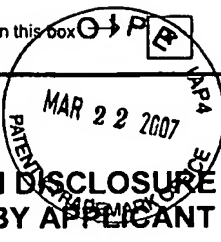


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Substitute for form 1449

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	71626 US02
First Named Inventor	Shelton et al.
COMPLETE IF KNOWN	
Application Number	10/796,176
Filing Date	March 09, 2004
Group Art Unit	
Examiner Name	

Sheet 1 of 6

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
SH		3,411,941		Lowe, et al.	11-19-1968
		4,595,722		Such	06-17-1986
		4,598,015		Panush	07-01-1986
		4,598,020		Panush	07-01-1986
		4,605,687		Panush	08-12-1986
		4,692,481		Kelly	09-08-1987
		4,707,381		Toyama et al.	11-17-1987
		4,714,634		Miyazona et al.	12-22-1987
		4,725,640		Cowles	02-16-1988
		4,732,790		Blackburn et al	03-22-1988
		4,732,791		Blackburn et al	03-22-1988
		4,740,541		Morse	04-26-1988
		4,753,829		Panush	06-28-1988
		4,755,581		Blackburn et al.	07-05-1988
		4,755,582		Blackburn et al.	07-05-1988
		4,829,108		Okuda et al	05-09-1989
		4,855,184		Klun et al.	08-08-1989
		4,859,758		Shalati et al.	08-22-1989
		4,888,372		Abrams et al.	12-19-1989
		4,902,578		Kerr, III	02-20-1990
✓		5,051,473		Tabuchi et al.	09-24-1991
SH		5,086,144		Shalati et al.	02-04-1992

Examiner Signature		Date Considered	
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				First Named Inventor		Shelton et al.			
				COMPLETE IF KNOWN					
				Application Number		10/796,176			
				Filing Date		March 09, 2004			
				Group Art Unit					
Sheet	2	of	6	Examiner Name					

SA		5,089,313		Cope	02-18-1992
		5,091,010		Souma et al	02-25-1992
		5,256,453		Heithorn et al.	10-26-1993
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		5,283,126		Rasmussen et al.	02-01-1994
		5,322,560		DePue et al.	06-21-1994
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		5,498,781		Hall et al.	03-12-1996
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		5,510,443		Shaffer et al.	04-23-1996
		5,520,963		Liu	05-28-1996
		5,527,848		Carpenter et al.	06-18-1996
		5,545,677		Hall et al.	08-13-1996
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		5,585,186		Scholz et al.	12-17-1996
		5,624,757		Smith	04-29-1997
↓		5,658,976		Carpenter et al.	08-19-1997
SA		5,670,141		Nebra	09-23-1997

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				Application Number	10/796,176
				Filing Date	March 09, 2004
				Group Art Unit	
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5H		5,721,015		Iwamura et al.	02-24-1998
		5,744,243		Li et al.	04-28-1998
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5H		6,596,069		Ido et al.	07-22-2003

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				First Named Inventor		Shelton et al.			
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				Application Number		10/796,176			
				Filing Date		March 09, 2004			
				Group Art Unit					
Sheet	4	of	6	Examiner Name					

SH		6,607,833		Uhlianuk et al.	08-19-2003
		6,632,852		Chen et al.	10-14-2003
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		6,758,992		Solomon et al.	07-06-2004
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		7,026,470		Obie	04-11-2006
		2004/0058083		Lettmann et al.	03-25-2004
		2004/0101629		Baumgart et al.	05-27-2004
		2004/0185269		Loper et al.	09-23-2004
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		2005/0186349		Loper et al.	08-25-2005
		2005/0227162		Van Rooyen	10-13-2005
✓		60/810,923		Bhattacharya et al.	06-05-2006
SH		60/810,924		Bhattacharya et al.	06-05-2006

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				First Named Inventor	Shelton et al.
				COMPLETE IF KNOWN	
				Application Number	10/796,176
				Filing Date	March 09, 2004
				Group Art Unit	
Sheet	5	of	6	Examiner Name	

		60/845,288		Bhattacharya et al.	09-18-2006
		60/845,374		Bhattacharya et al.	09-18-2006

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
GH		EP	0 455 211	B2	Herberts Gesellschaft	04-05-1995	
		EP	0 803 552	B2	Eckart-Werke Standard et al	05-10-2000	
		EP	0 515 208	A3	Nisshinbo Industries, Inc.	11-25-1992	
		EP	0 267 409	B1	Mazzucchelli Celluloide S.P.A.	05-18-1988	
		EP	0 547 614	A3	Isamu Paint Co., Ltd.	06-23-1993	
		EP	1 574 554	A3	Nippon Paint Co., Ltd.	09-14-2005	
		EP	0 289 997	A2	Herberts & Co. GmbH	11-09-1988	
✓		WO	99/59753		Hoganas AB	11-25-1999	
GH		WO	04/83253		Eastman Chemical Company	09-30-2004	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
GH		Malm, Carl J.; Fordyce, Charles R.; Tanner, Howard A. "Properties of Cellulose Esters of Acetic, Propionic, and Butyric Acids," <i>Ind. Eng. Chem.</i> , 1942, 34(4), 430	
GH		Abatzoglou, N.; Chornet, E. "Acid Hydrolysis of Hemicelluloses and Cellulose: Theory and Applications," in <i>Polysaccharides: Structural Diversity and Functional Versatility</i> , ed. S. Dumitriu, Marcel Dekker, Inc., New York, 1998	

Examiner Signature		Date Considered	
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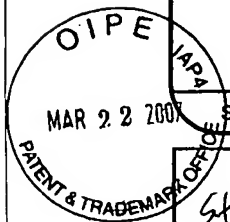
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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				First Named Inventor	Shelton et al.
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				Application Number	10/796,176
				Filing Date	March 09, 2004
				Group Art Unit	
Sheet	6	of	6	Examiner Name	

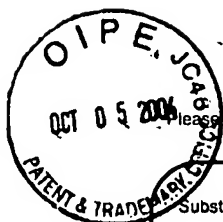


International Search Report and Written Opinion of the International Searching Authority for PCT Application PCT/US2006/015573
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Examiner Signature	<i>[Handwritten Signature]</i>	Date Considered	5/8/07
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Substitute for form 1449				Attorney Docket Number	71626-02
				First Named Inventor	Shelton et al.
				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/796,176
				Filing Date	March 09, 2004
				Group Art Unit	1711
Sheet	1	of	1	Examiner Name	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code* (if known)		

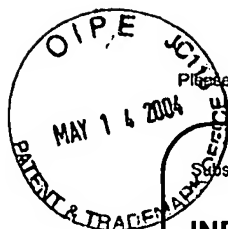
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code* (if known)			
SH		WO	96/20961	95/20961	Eastman Chemical Company	07-11-1996	
SH		GB	1 122 006		Shinetsu Chem Ind Co.	07-31-1968	
SH		WO	01/35719		Eastman Chemical Company	05-25-2001	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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Substitute for form 1449

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<b>Attorney Docket Number</b>	71626 US02
<b>First Named Inventor</b>	Shelton et al.
<b>COMPLETE IF KNOWN</b>	
<b>Application Number</b>	10/796,176
<b>Filing Date</b>	March 09, 2004
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Sheet **1** of **4**

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
SH		1,652,573		Mallabar	12-13-1927
		1,683,347		Gray et al.	09-04-1928
		1,878,954		Malm	09-20-1932
		2,129,052		Fordyce	09-06-1938
		2,801,239		Hiatt et al.	07-30-1957
		3,386,932		Steinmann	06-04-1968
		3,391,135		Ouno et al.	07-02-1968
		3,518,249		Hiatt et al.	06-30-1970
		4,415,734		Yabune et al.	11-15-1983
		4,532,177		Mahar	07-30-1985
		4,543,409		Diamantoglou et al.	09-24-1985
		4,551,492		Aerts	11-05-1985
		4,983,730		Domeshek et al.	01-08-1991
		5,449,555		Karstens et al.	09-12-1995
		5,478,386		Itoh et al.	12-26-1995
		5,480,922		Mülhaupt et al.	01-02-1996
		5,663,310		Shimoda et al.	09-02-1997
		5,705,632		Shimoda et al.	01-06-1998
		5,720,803		Itoh et al.	02-24-1998
		5,856,468		Shuto et al.	01-05-1999
↓		5,914,309		Ulbl et al.	06-22-1999
SH		5,919,920		Murakami et al.	07-06-1999

<b>Examiner Signature</b>		<b>Date Considered</b>	
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				Application Number		10/796,176			
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Sheet	2	of	4	Examiner Name					

SH		5,962,677		Murakami et al.	10-05-1999
		5,990,304		Kiyose et al.	11-23-1999
		6,099,973		Miyai et al.	08-08-2000
		6,207,601	B1	Maurer et al.	03-27-2001
		6,303,670	B1	Fujino et al.	10-16-2001
		6,407,224	B1	Mironov et al.	06-18-2002
		6,609,677	B2	Seybold et al.	08-26-2003
SH		10/796,235		Shelton et al.	03-09-2004

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
SH		JP	51-119088		Asahi Dow K.K.	10-19-1976	
SH		JP	51-119089		Asahi Dow K.K.	10-19-1976	
SH		WO	91/16356		Eastman Kodak Company	10-31-1991	

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				First Named Inventor	Shelton et al.
				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/796,176
				Filing Date	March 09, 2004
				Group Art Unit	
Sheet	4	of	4	Examiner Name	

SH		Research Disclosure, September 1978, 17304, p 19	

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